

# Unions of Reducibility Families

## for $\lambda$ -Calculus and Rewriting

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# General Motivations

Termination of extensions of typed  $\lambda$ -calculus.

- ▶ Proofs assistants (strong normalization).
- ▶ Functional programming.

# $\lambda$ -Calculus with Rewriting

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## ► Terms

$$t, u \in \Lambda(\Sigma) ::= x \mid \lambda x.t \mid t u \mid f(t_1, \dots, t_n),$$

where  $f \in \Sigma_n$ .

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- A type system (eg. simple types).

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where  $f \in \Sigma_n$ .

- A type system (eg. simple types).
- **Rewrite rules** of the form

$$f(l_1, \dots, l_n) \mapsto_{\mathcal{R}} r,$$

where

$$\frac{\Gamma \vdash l_1 : T_1 \quad \dots \quad \Gamma \vdash l_n : T_n}{\Gamma \vdash f(l_1, \dots, l_n) : T} \quad \text{and} \quad \Gamma \vdash r : T$$

# Strong Normalization

Let  $\rightarrow_{\mathcal{R}}$  be a rewrite relation on  $\Lambda(\Sigma)$ .

- ▶ **Strong normalization** ( $\mathcal{SN}$ )  
No infinite sequence

$$t_1 \rightarrow_{\mathcal{R}} \dots \rightarrow_{\mathcal{R}} t_n \rightarrow_{\mathcal{R}} \dots$$

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- ▶ Tools to prove that

$$\text{if } \vdash t : T \text{ then } t \in \mathcal{SN}$$

# Type Interpretation

Let  $\rightarrow_{\mathcal{R}}$  be a rewrite relation on  $\Lambda(\Sigma)$ .

► **Interpretation of types**

$$T \in \mathcal{T} \quad \mapsto \quad \llbracket T \rrbracket \subseteq \mathcal{SN}$$

► **Adequacy**

$$\vdash t : T \quad \Longrightarrow \quad t \in \llbracket T \rrbracket$$

# Type Interpretation

Let  $\rightarrow_{\mathcal{R}}$  be a rewrite relation on  $\Lambda(\Sigma)$ .

► **Reducibility family**

$\mathcal{R}ed \subseteq \mathcal{P}(\Lambda(\Sigma))$     such that     $\forall A \in \mathcal{R}ed. \quad \text{Var} \subseteq A \subseteq \mathcal{SN}$

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# Type Interpretation

Let  $\rightarrow_{\mathcal{R}}$  be a rewrite relation on  $\Lambda(\Sigma)$ .

► **Reducibility family**

$\mathcal{Red} \subseteq \mathcal{P}(\Lambda(\Sigma))$     such that     $\forall A \in \mathcal{Red}. \quad \text{Var} \subseteq A \subseteq \mathcal{SN}$

► **Interpretation of types**

$T \in \mathcal{T} \quad \mapsto \quad \llbracket T \rrbracket \in \mathcal{Red}$

► **Adequacy**

$\vdash t : T \quad \Longrightarrow \quad t \in \llbracket T \rrbracket$

►  $\mathcal{Red}$  is a complete lattice

## Different reducibility families:

- ▶ Tait's Saturated Sets [[Tai75](#)]
- ▶ Girard's Reducibility Candidates [[Gir72](#)]
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$$\emptyset \neq \mathcal{R} \subseteq \text{Red} \implies \bigcup \mathcal{R} \in \text{Red}$$

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Property used eg. in [BR06, Abe06, Tat07].

# Outline

Introduction

Reducibility

Stability by Union

Application to Orthogonal Constructor Rewriting

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► **Interpretation of types**

$$T \in \mathcal{T} \quad \mapsto \quad \llbracket T \rrbracket \in \mathcal{R}ed$$

► Sufficient conditions on  $\mathcal{R}ed$  to get an **adequate** interpretation:

$$\vdash t : T \quad \Longrightarrow \quad t \in \llbracket T \rrbracket$$

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- if  $E[x] \rightarrow_{\beta} v$  then the reduction is in  $E[\ ]$ ,
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## ► Consequence:

If  $E[t[u/x]] \in \mathcal{SN}_{\beta}$  and  $u \in \mathcal{SN}_{\beta}$  then  $E[(\lambda x.t)u] \in \mathcal{SN}_{\beta}$ .

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## ► $S \subseteq \mathcal{SN}_{\beta}$ is a **saturated set** ( $S \in \mathcal{SAT}$ ) iff

- (SAT1) if  $E[\ ] \in \mathcal{SN}_{\beta}$  and  $x \in \mathcal{V}_{\text{ar}}$  then  $E[x] \in S$ ,  
 (SAT2 $_{\beta}$ ) if  $E[t[u/x]] \in S$  and  $u \in \mathcal{SN}_{\beta}$  then  $E[(\lambda x.t)u] \in S$ .

# Rewriting

- ▶ Let  $\mathcal{R}$  be a rewrite system on  $\Lambda(\Sigma)$  whose rules are of the form

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In addition to (SAT1) and (SAT2 <sub>$\beta$</sub> ) we need stability by reduction (if  $t \in S$  and  $t \rightarrow_{\beta\mathcal{R}} u$  then  $u \in S$ ),

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In addition to (SAT1) and (SAT2 <sub>$\beta$</sub> ) we need stability by reduction (if  $t \in S$  and  $t \rightarrow_{\beta\mathcal{R}} u$  then  $u \in S$ ), and that for all  $E[f(t_1, \dots, t_n)]$ ,

$$\begin{array}{ccc}
 & E[f(t_1, \dots, t_n)] & \\
 \swarrow \beta\mathcal{R} & & \searrow \beta\mathcal{R} \\
 u_1 \in S & \dots & u_n \in S
 \end{array}
 \implies E[f(t_1, \dots, t_n)] \in S$$

# Neutral Terms

Consider a set of contexts  $E[ ] \in \mathcal{E}$  and a rewrite relation  $\rightarrow_R$ .

▶ A term  $t$  is **neutral** ( $t \in \mathcal{N}$ ) if it interacts with **no** contexts  $E[ ] \in \mathcal{E}$ :

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- ▶  $\mathcal{E}$  is a set of **elimination contexts** if  $\mathcal{E}$  is stable by reduction and by composition and all variables are neutral and if  $t \in \mathcal{N}$  and  $E[ ] \in \mathcal{E}$  then  $E[t] \in \mathcal{N}$ .
- ▶ **Example:**  
 $\mathcal{E}_{\Rightarrow}$  is a set of elimination contexts for  $\rightarrow_\beta$ .

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- ▶ **Example:**

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- ▶ A **value** ( $t \in \mathcal{V}$ ) is an observable term, ie a term which interacts with **some** contexts  $E[ ] \in \mathcal{E}$ .

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C is stable by reduction (if  $t \in C$  and  $t \rightarrow_{\mathcal{R}} u$  then  $u \in C$ ) and

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$$\Downarrow$$

$$E[u_1] \in \mathcal{SN} \quad \dots \quad E[u_n] \in \mathcal{SN} \quad \Longrightarrow \quad E[t] \in \mathcal{SN}$$

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- Given  $\mathcal{A} \subseteq \Lambda(\Sigma)$  and  $\mathcal{P} \subseteq \mathcal{E}$ , let

$$\begin{aligned} \mathcal{A}^\perp &=_{\text{def}} \{E[ ] \in \mathcal{E} \mid \forall t \in \mathcal{A}. t \perp\!\!\!\perp E[ ]\} \\ \mathcal{P}^\perp &=_{\text{def}} \{t \in \Lambda(\Sigma) \mid \forall E[ ] \in \mathcal{P}. t \perp\!\!\!\perp E[ ]\} \end{aligned}$$

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$$A \subseteq \Lambda(\Sigma)$$


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$$A^{\perp\!\!\!\perp} =_{\text{def}} \{E[ ] \in \mathcal{E} \mid \forall t \in A. t \perp\!\!\!\perp E[ ]\}$$

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$$A \subseteq \Lambda(\Sigma)$$

$$\downarrow \perp\!\!\!\perp$$

$$A^{\perp\!\!\!\perp} \subseteq \mathcal{E}$$



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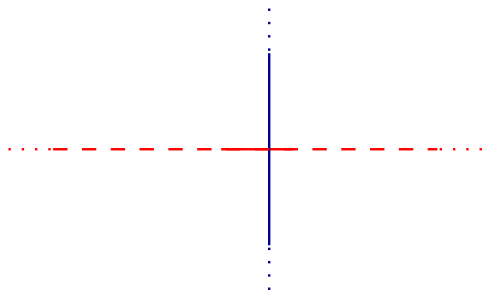
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 A \subseteq \Lambda(\Sigma) \\
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- $(\_)^{\perp\!\!\!\perp}$  is a closure operator.

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## Lemma

$$\emptyset \neq A \subseteq \mathcal{SN} \implies A^{\perp\!\!\!\perp} \in \mathcal{CR}$$

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Reducibility

**Stability by Union**

Application to Orthogonal Constructor Rewriting

Conclusion

Given a typed rewrite system  $\mathcal{R}$ ,

find a reducibility family  $\mathcal{R}ed$   
which leads to an adequate type interpretation  
and such that

$$\emptyset \neq \mathcal{R} \subseteq \mathcal{R}ed \implies \bigcup \mathcal{R} \in \mathcal{R}ed$$

# Union Types

$$T_1, T_2 \in \mathcal{T} ::= \dots \mid T_1 \sqcup T_2$$

- ▶ We put

$$\llbracket T_1 \sqcup T_2 \rrbracket =_{\text{def}} \text{Red}(\llbracket T_1 \rrbracket \cup \llbracket T_2 \rrbracket)$$

This validates

$$(\sqcup I) \frac{\Gamma \vdash t : T_i}{\Gamma \vdash t : T_1 \sqcup T_2}$$

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This validates

$$(\sqcup I) \frac{\Gamma \vdash t : T_i}{\Gamma \vdash t : T_1 \sqcup T_2}$$

- ▶ If  $\text{Red}$  stable by union, we have

$$\llbracket T_1 \sqcup T_2 \rrbracket \quad = \quad \llbracket T_1 \rrbracket \cup \llbracket T_2 \rrbracket$$

This is sufficient to validate

$$(\sqcup E) \frac{\Gamma \vdash t : T_1 \sqcup T_2 \quad \begin{array}{l} \Gamma, x : T_1 \vdash c : C \\ \Gamma, x : T_2 \vdash c : C \end{array}}{\Gamma \vdash c[t/x] : C}$$

# Unsafe Interaction [Rib07b]

$$t_1 + t_2 \mapsto_{\mathcal{R}} t_1$$

$$t_1 =_{\text{def}} \lambda x. x a \delta$$

$$t_1 + t_2 \mapsto_{\mathcal{R}} t_2$$

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$$\frac{t_1 : T_1 \quad t_2 : T_2}{t_1 + t_2 : T_1 \sqcup T_2}$$

$$\begin{array}{l} x : T_1 \vdash x x : C \\ x : T_2 \vdash x x : C \end{array}$$

Because

$$t_1 t_1 \in \mathcal{SN} \quad \text{and} \quad t_2 t_2 \in \mathcal{SN}$$

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While

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While

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Similar example with a **confluent** rewrite system.

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$$(\sqcup \mathbf{E}) \frac{\frac{t_1 : T_1 \quad t_2 : T_2}{t_1 + t_2 : T_1 \sqcup T_2} \quad \frac{x : T_1 \vdash c : C}{x : T_2 \vdash c : C}}{c[(t_1 + t_2)/x] : C}$$

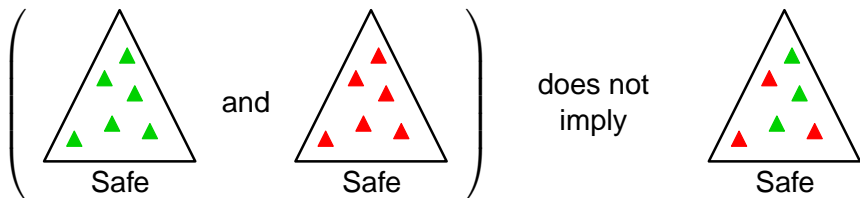
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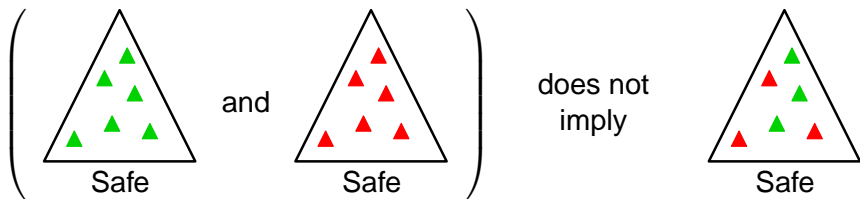
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$$(\sqcup \mathbf{E}) \frac{\frac{t_1 : T_1 \quad t_2 : T_2}{t_1 + t_2 : T_1 \sqcup T_2} \quad \frac{x : T_1 \vdash c : C \quad x : T_2 \vdash c : C}{c[(t_1 + t_2)/x] : C}}{c[(t_1 + t_2)/x] : C}$$

But



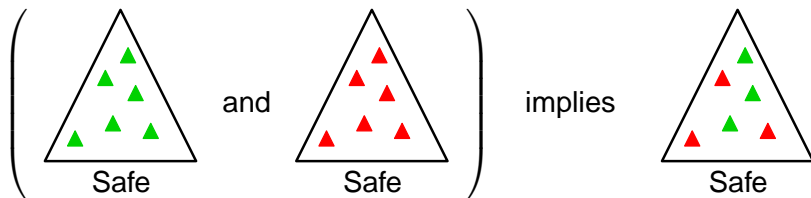
Prevents from having  $\llbracket T_1 \sqcup T_2 \rrbracket = \llbracket T_1 \rrbracket \cup \llbracket T_2 \rrbracket$ .

## Sufficient Conditions for $\llbracket T_1 \sqcup T_2 \rrbracket = \llbracket T_1 \rrbracket \cup \llbracket T_2 \rrbracket$

Let  $\rightarrow_{\mathcal{R}}$  be a rewrite relation on  $\Lambda(\Sigma)$  and  $\mathcal{E}$  be a set of elimination contexts.

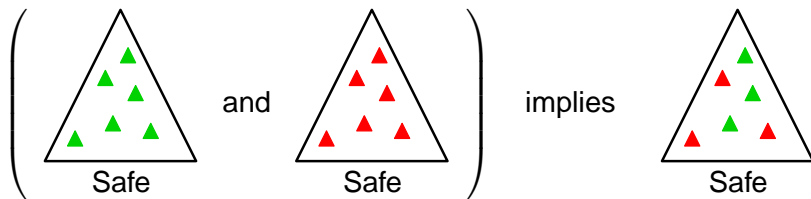
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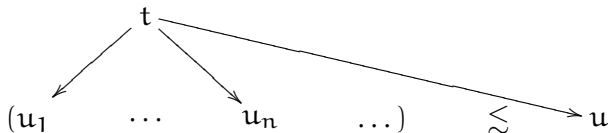


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OK if " $\blacktriangle \approx \blacktriangle$ " i.e. if  $t$  neutral has a "principal reduct"  $u$ :



## Reducibility Candidates

Let  $\emptyset \neq \mathcal{A} \subseteq \mathcal{CR}$ . We want

$$\bigcup \mathcal{A} \in \mathcal{CR}$$

- ▶  $\mathcal{SN}$  and  $(\mathcal{CR}0)$  are OK.
- ▶ For  $(\mathcal{CR}1)$ , let  $t \in \mathcal{N}$  with  $(t)_{\rightarrow} \subseteq \bigcup \mathcal{A}$ .

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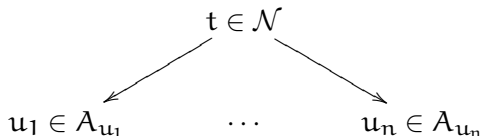
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If  $t$  is not normal, then

$\forall u (t \rightarrow u \implies \text{there is some } A_u \in \mathcal{A} \text{ such that } u \in A_u) .$

That is



- ▶ We are looking for some  $i$  such that  $t \in A_{u_i}$ .

## Reducibility Candidates

- ▶ Let  $u \lesssim_{\mathcal{C}\mathcal{R}} t$  iff every value of  $u$  is a value of  $t$ , that is

$$\forall v \in \mathcal{V}. \quad u \rightarrow_{\mathcal{R}}^* v \implies t \rightarrow_{\mathcal{R}}^* v$$

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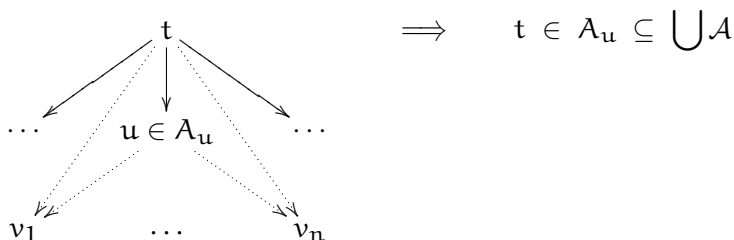
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- ▶ If there is  $u$  s.t.  $t \rightarrow u$  and  $t \lesssim_{\mathcal{CR}} u$ , then we are done:



# Stability by Union of Reducibility Candidates [Rib07a]

## Theorem

*The following are equivalent:*

- (i)  $\mathcal{CR}$  is stable by union,*
- (ii)  $\mathcal{CR}$  is the set of all non-empty subsets  $C$  of  $\mathcal{SN}$  that are downward closed wrt.  $\lesssim_{\mathcal{CR}}$ .*
- (iii) for every  $t$  which is non-normal, strongly normalizing and neutral, there is a term  $u$  such that*

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- ▶ This holds for the  $\lambda$ -calculus with products and sums (also [Tat07]).

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The converse is false, consider

$$p \mapsto_{\mathcal{R}} \lambda x. c_1 \quad p \mapsto_{\mathcal{R}} \lambda x. c_2 \quad c_i \mapsto_{\mathcal{R}} d$$

Indeed,

$$p \not\lesssim_{\mathcal{CR}} \lambda x. c_i \quad \text{but} \quad \lambda x. c_i \lesssim_{\mathcal{SN}} p$$

# Back to Saturated Sets

## Pure $\lambda$ -calculus

- ▶  $S \subseteq \mathcal{SN}_\beta$  is a **saturated set** ( $S \in \mathcal{SAT}$ ) iff
  - (SAT1) if  $E[\ ] \in \mathcal{SN}_\beta$  and  $x \in \mathcal{V}\text{ar}$  then  $E[x] \in S$ ,
  - (SAT2 $_\beta$ ) if  $E[t[u/x]] \in S$  and  $u \in \mathcal{SN}_\beta$  then  $E[(\lambda x.t)u] \in S$ .

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 E[f(t_1, \dots, t_n)] & \implies & E[f(t_1, \dots, t_n)] \in S \\
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### If $\rightarrow_{\mathcal{R}}$ has principal reducts, then we only need

- ▶  $S \in \text{SAT}_{\mathcal{R}}$  iff  $\text{Var} \stackrel{\perp\perp\perp}{\subseteq} S \subseteq \mathcal{SN}$  and
  - (SAT) if  $E[u] \in S$  and  $u$  is a principal reduct of  $t$  then  $E[t] \in S$ .

# Outline

Introduction

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# Constructor Rewriting

- ▶ **Constructors** are symbols  $c$  of type

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- ▶ **Rewrite rules**

$$\mathbb{E}(p_1, \dots, p_n) \mapsto_{\mathcal{R}} r$$

where  $p_1, \dots, p_n$  are constructor patterns.

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▶ **Theorem [KOO01]**

If  $\mathcal{R}$  is **orthogonal**

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▶ **Theorem [KOO01]**

If  $\mathcal{R}$  is orthogonal

then every reducible term has an external redex.

### Corollary

*If  $\mathcal{R}$  is an **orthogonal constructor** rewrite system  
then  $\mathcal{CR}$  is stable by union.*

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- ▶ Sufficient conditions to have a reducibility family stable by union.
- ▶ Investigation of the structure of Girard's Candidates.
- ▶ For the combination of  $\lambda$ -calculus with orthogonal constructor rewriting, Girard's Candidates are stable by union.
- ▶ In [Rib07b], we studied a type system with  $(\sqcup E)$  such that for **simple rewrite systems**  $\mathcal{R}$ , the following are equivalent:
  - (i) terms typable using  $(\sqcup E)$  are Strongly Normalizing,
  - (ii) the interpretation  $(\llbracket \_ \rrbracket) : \mathcal{T} \rightarrow \mathcal{P}^*(\mathcal{SN})^{\perp\perp\perp}$  is adequate.

Thank you for your attention !

<http://www.loria.fr/~riba/>

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